

UNITED STATES SECURITIES AND EXCHANGE COMMISSION NEW YORK REGIONAL OFFICE

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March 19, 2019

Via ECF Hon. George B. Daniels United States District Judge United States Courthouse 40 Foley Square New York, NY 10007

Re: *SEC v. Durante*, 15-CV-9874-GBD (S.D.N.Y.)

Dear Judge Daniels:

We represent the plaintiff Securities and Exchange Commission ("SEC" or "Commission") in this action. This letter is to provide the Court with a status report pursuant to the Court's January 28, 2019 order. (Docket No. 132.) The Commission has agreed to accept the settlement offers of the remaining defendants: Edward Durante, Abida Khan, Larry Werbel, Christopher Cervino, Walter Reissman, and Kenneth Wise. Accordingly, the staff respectfully requests that the Court enter the enclosed final judgments on consent. Upon entry of all of these judgments, all of the SEC's claims asserted in this action will be fully resolved.

Respectfully submitted,

/s/ Paul G. Gizzi /s/ James K. Hanson

Paul G. Gizzi James K. Hanson

Enclosures

Cc: All Defendants

U.S. Attorney's Office